

Case Docket No.: NUS-14

Assistant Commissioner For Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor: Rajagopalan Srinivasan et al.

For: METHOD AND APPARATUS FOR MANAGING PROCESS TRANSITIONS

Enclosed are:

9 sheets of drawings.  
 An assignment of the invention to: \_\_\_\_\_  
 A verified statement to establish small entity status.  
 \_\_\_\_\_

The filing fee has been calculated as shown below:

			Small Entity		Large Entity	
For:	No. Filed	No. Extra	Rate	Fee	Rate	Fee
Basic Fee				\$370.00		\$740.00
Total Claims	27- 20	7	x \$ 9.00	0	x \$18.00	\$126.00
Ind. Claims	11- 3	8	x \$42.00	0	x \$ 84.00	\$672.00
Mult. Claims			+ \$140.00	0	+ \$280.00	
					Total	\$1538.00

Please charge my Deposit Account No. 16-0221 to cover the filing fee and assignment recording fee. A duplicate copy of this sheet is enclosed.

A check in the amount of \$1538.00 to cover the filing fee (and assignment recording fee) is enclosed.

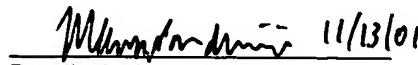
The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 16-0221. A duplicate copy of this sheet is enclosed.

- Any additional filing fees required under 37 CFR 1.16.
- Any patent application processing fees under 37 CFR 1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 16-0221. A duplicate copy of this sheet is enclosed.

- Any patent application processing fees under 37 CFR 1.17.
- The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

 11/13/01  
Pandiscio & Pandiscio  
470 Totten Pond Road  
Waltham, Massachusetts 02451-1914  
Tel. (781) 290-0060

PS/NUS14.FEE

NUS-14

12-10-01

A

11/13/01  
JC692 U.S. PRO

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Rajagopalan Srinivasan et al.  
For: METHOD AND APPARATUS FOR MANAGING  
PROCESS TRANSITIONS  
Attorney's Docket No.: NUS-14

Date: November 13, 2001

Box Patent Application  
Assistant Commissioner For Patents  
Washington, D.C. 20231

11/13/01  
JC618 U.S. PRO  
JC10/008552

Sir:

FILING OF PATENT APPLICATION UNDER 37 CFR 1.10

The attached patent application is being filed under the provisions of 37 CFR 1.10.

Applicants' attorney is also submitting the requisite fee as calculated on the attached transmittal letter.

"EXPRESS MAIL" MAILING LABEL NUMBER ET851672696US

DATE OF DEPOSIT November 13, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

Mark J. Pandiscio  
(PERSON MAILING)

Mark J. Pandiscio 11/13/01  
(SIGNATURE)

PS/NUS14.FIL

Respectfully submitted,

Mark J. Pandiscio 11/13/01

Mark J. Pandiscio  
Registration No. 30,883  
Pandiscio & Pandiscio  
470 Totten Pond Road  
Waltham, MA 02451-1914  
Tel (781) 290-0060  
Fax (781) 290-4840

NUS-14